



# What Will Be Covered in Session 4? Maintenance Problems & Solutions

- Excessive Vegetation
- Animal Damage
- Debris
- Erosion
- Slope Failures
- Concrete Problems
- Seepage
- Drains
- Material Deterioration
- Miscellaneous





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# **O&M Pays Off**

- Proper operation, maintenance, and inspection of a dam is like that of an older vehicle in need of extensive repair:
  - If left un-maintained, repair is expensive.
  - If maintenance and repair are performed as needed, costs are minimized.



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# **Excessive Vegetation**



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#### Tree and Brush Removal

Keep Entire Dam and Spillways Clear of Trees and Brush

#### **Reasons:**

- Heavy brush makes inspection difficult
- Heavy brush makes it difficult to see problems as they develop
- Roots may increase seepage risks as they decay
- Root systems can lift and displace concrete structures
- Trees and brush prevent growth of desirable vegetation
- Brush encourages and hides borrowing animals
- Roots can clog embankment drains and penetrate conduits
- Uprooted trees produce large voids reducing stability, decreasing seepage paths and reducing freeboard



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# Maintenance Of Vegetation

- Periodic Mowing is Essential
- Follow Recommendations in O & M Manual
- Mow to Minimum Height of 3 inches
- Remove Vegetation from Riprap Areas by Hand
- Good Reference:

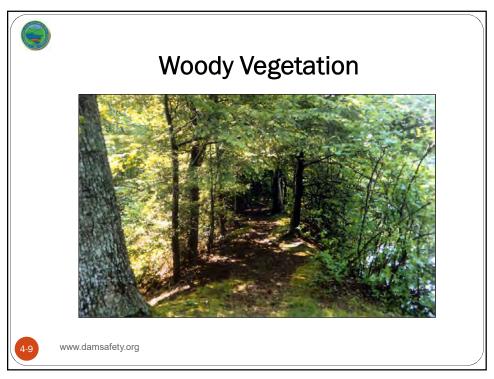
Technical Manual for Dam Owners Impacts of Plants on Earthen Dams FEMA 534 – September 2005



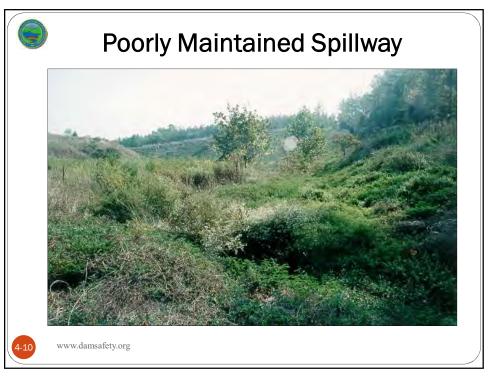
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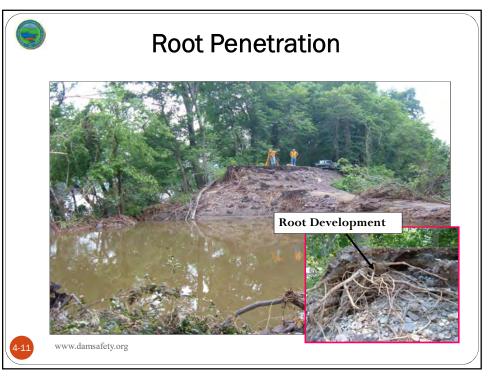




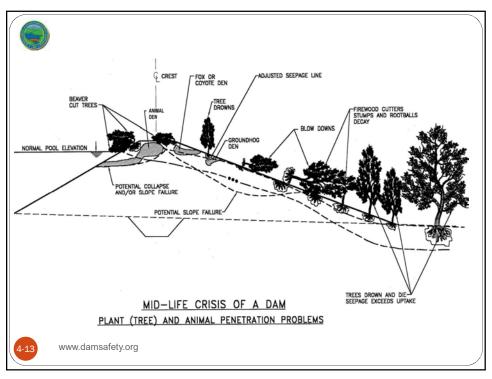


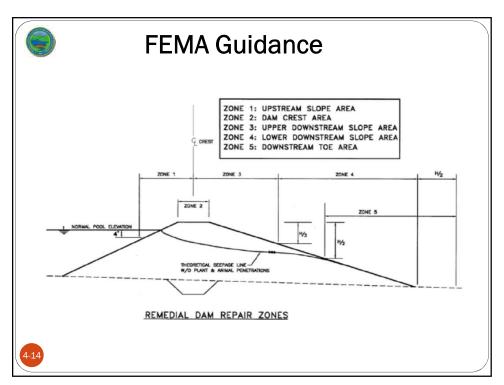
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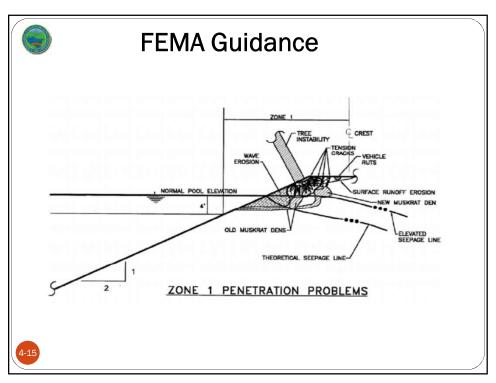


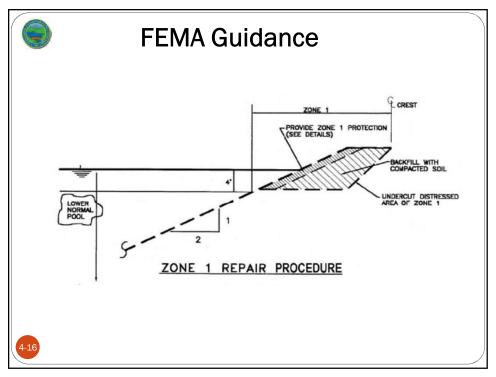


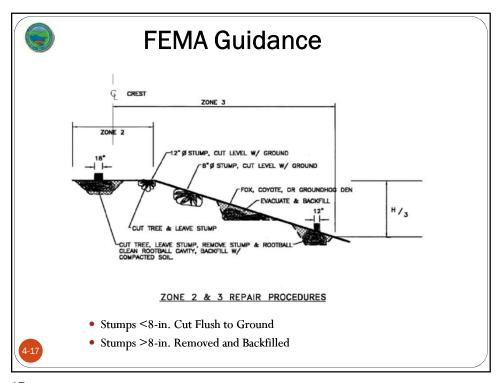


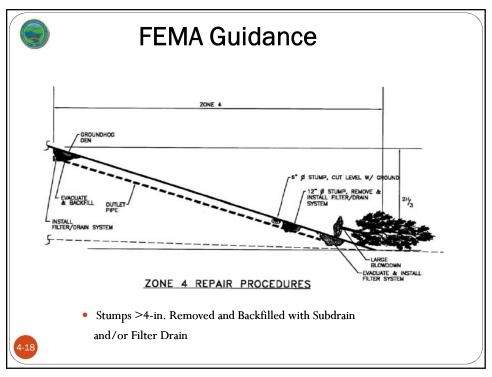


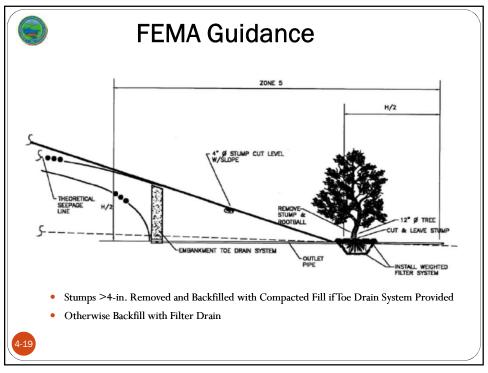


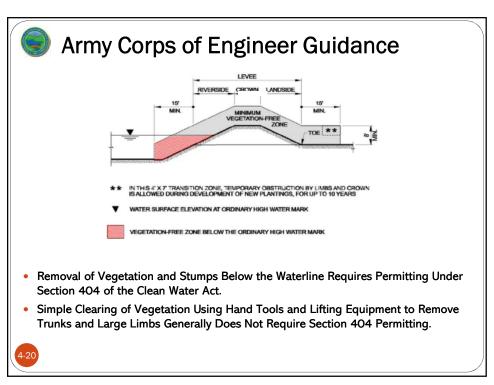














## **Army Corps of Engineer Guidance**

- Removal of Non-Compliant Vegetation
  - Excavated Removal of Stump and All Roots Greater than ½-inch in diameter.
  - Backfill Stump Void to Match Adjacent Soils
  - Must Consider Impoundment Levels, Seepage Conditions, Structural Impacts and Other Considerations
    - Consult with an Experienced Engineer to Identify Potential Concerns and Develop and Appropriate Plan



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## **Guidance on Vegetative Clearing**

- Natural Resources Conservation Service
  - TR-60: Earth Dams and Reservoirs (July 2005)
- Bureau of Reclamation
  - Design of Small Dams (November 1987)
- Army Corps of Engineers
  - ETL 1110-2-571 : Guidelines for Landscape Planting and Vegetative Management at Levees, Floodwalls, Embankment Dams, and Appurtenant Structures (April 2009)
- Federal Emergency Management Agency
  - FEMA 534: Technical Manual for Dam Owners; Impacts of Plants on Earthen Dams (September 2005)
  - Development Undertaken by Association of State Dam Safety Officials
    - Technical Manual on the Effects of Tree and Woody Vegetation Root Penetrations on the Safety of Earthen Dams (December 2002)



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# **Animal Damage**



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# **Animal Impacts on Dams**

- Destroy Vegetation
- Dig Burrows
- Block Spillways
- Plug Drains
- Paths and Trails



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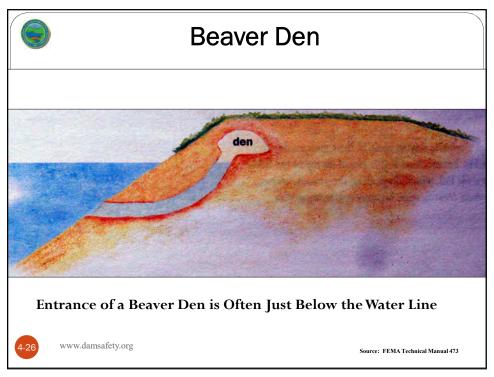
# **Animal Impact on Dams**

- Beavers: Block spillways and burrow into the Dam
- Muskrats: Dig large burrows on upstream slope
- Groundhogs: Dig large burrows on downstream slope
- Livestock: Trample vegetation and create erosion paths

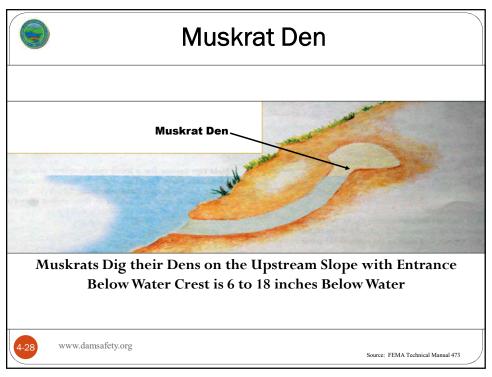


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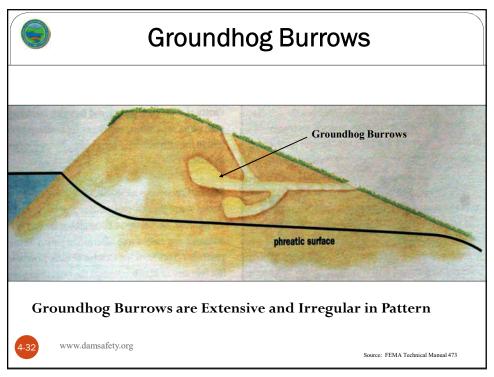




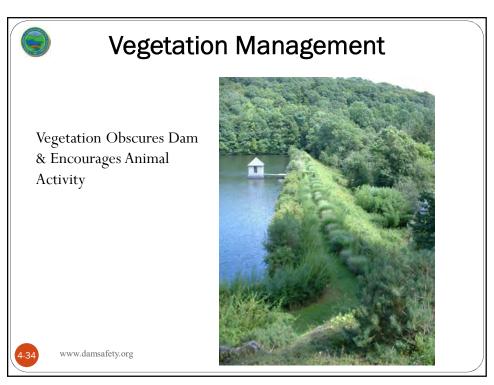


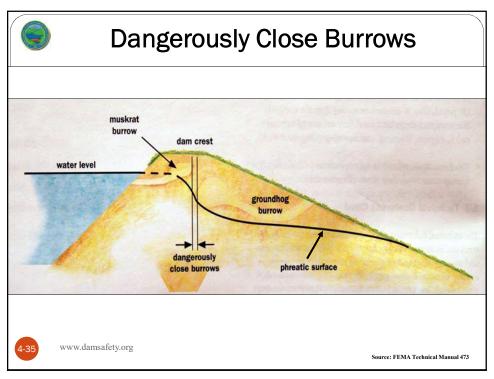






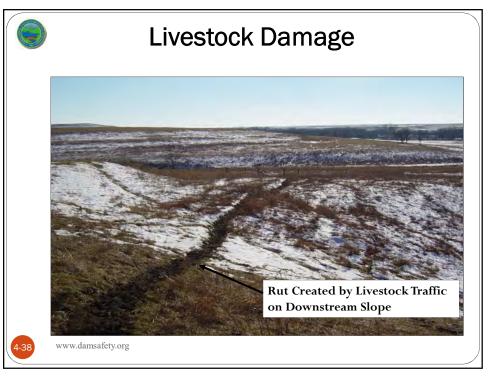
















# **Burrowing Animal Control**

- Owner Responsible for Control of Nuisance Wildlife
- Owner Must Abide by Applicable Federal/State Regs
- Applicable Federal Regulations:
  - Endangered Species Act
  - Migratory Bird Treaty Act
  - Federal Insecticide, Fungicide and Rodentcide Act
- State Regulations:
  - Some species protected by State even if not by Federal
  - Know your State Regulations



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Reference: FEMA Technical Manual 47



#### **Animal Control Methods**

• Habitat Modification

Remove aquatic vegetation that grows along face of the dam

Trapping

Trapping and relocation should be coordinated with the appropriate state agency since permit may be required

• Use Of Fumigants and Toxicants

Coordinate with appropriate wildlife agency in your state regarding legality and restrictions on application



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# Minor Repair of Burrows

- Excavate and Remove Debris from Large Dens
- Fill Material Should be:
  - Well graded
  - Fine grained
  - Free from vegetation, organic material & rocks
  - Placed in 8-inch to 12-inch thickness to compact
  - Be moist but not wet when compacted
- Re-Vegetate as Recommended by:
  - State Dam Safety Office
  - Soil Conservation District
  - NRCS



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# Minor Repair of Burrows

- Optional Fill Material Mud Packing:
  - Mixture of soil and cement
  - Can be made into a slurry
  - Fill burrow with tremie methods



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# Debris/Trash Racks



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# Areas To Check For Debris

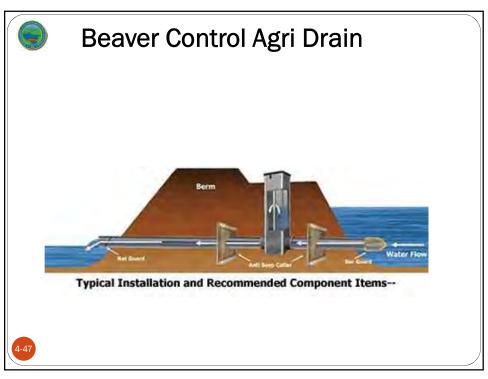
- Primary Spillway Inlets
- Primary Spillway Conduits
- Secondary Spillway Inlets/Channels
- Reservoir



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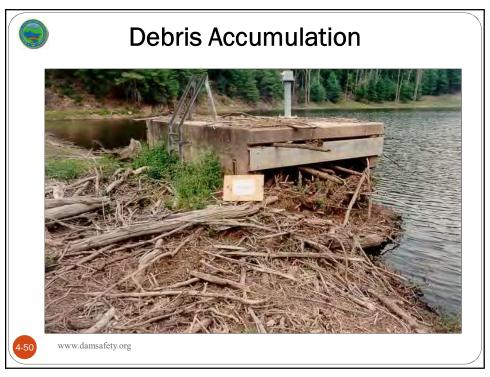
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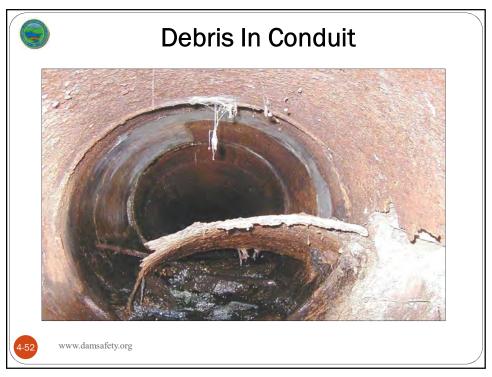


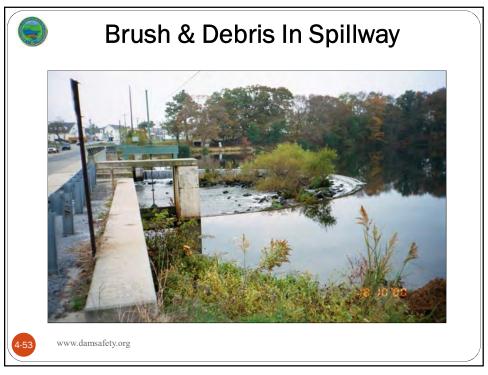
















# **Debris Removal**

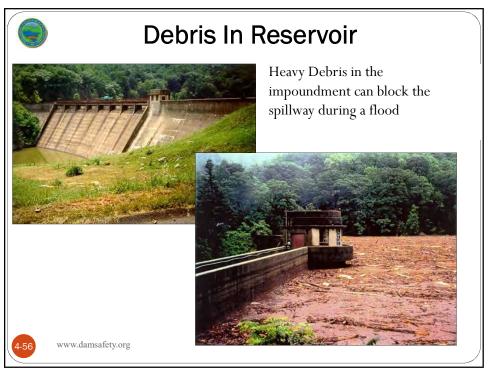
If riser/trash rack becomes so clogged with debris that the riser becomes submerged, removal may require first lowering the lake by pumping or siphoning





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### **Corrective Actions - Trash Racks**

- Remove Debris Regularly
- Clean and Paint if Required
- Replace Missing Members/Bolts
- Consider Effectiveness
  - Rack dimensions
  - Opening size



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# **Corrective Actions - Trash Racks**

#### **Design Considerations:**

- Maximum Velocity 2.5 fps
- Bar Spacing
  - 1/3 to 1/2 Pipe/Orifice Diameter
  - Not so small that they trap debris that would easily pass through the conduit
- Seek Engineering Assistance



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### **Corrective Actions**

- Conduits/Risers
  - Remove debris
  - Keep entrance sections clear
  - Check joint alignment/displacement
- Gated / Ungated Spillways
  - Remove debris
  - Keep entrance sections clear
- Reservoir
  - Remove floating debris (natural & manmade)
  - Consider a floating debris barrier



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# **Erosion**



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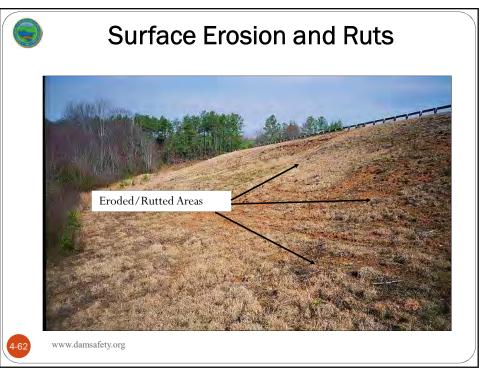
### **Areas To Check**

- Embankment Crest and Slopes
- Groin (embankment to abutment contact)
- Earth Spillway
- Shoreline
- Conduit Outlets
- Outlet Channel
- Structural Spillway Outlets/Sidewalls



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# Corrective Action – Minor Erosion/Ruts

- Often Caused by Concentrated Surface Runoff from Crest and/or Sparse Vegetation on Slope
- Corrective Action
  - Control surface runoff at crest
  - Fill deep rutted areas with fine grain well graded compacted soil
  - Re-grade slope
  - Re-vegetate sparse and disturbed area as recommended by State Dam Safety Office/SCD/NRCS



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# **Ruts And Depressions on Dam Crest**



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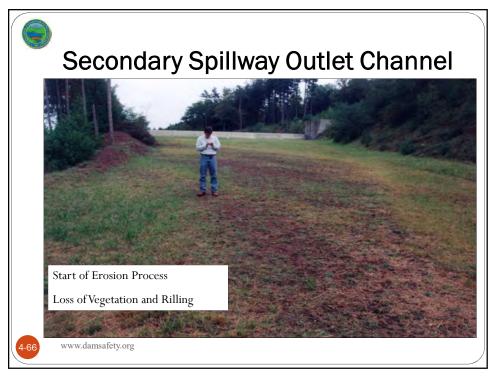
### **Corrective Action - Crest**

- Crown Crest to Have Uniform Sheet Flow to Slopes
- Consider Use of Topsoil to Establish Good Growth
- Vegetate as Recommended by State Dam Safety Office/SCD/NRCS
- Restrict Vehicle Traffic to Maintenance Equipment
- If Heavy Traffic Cannot be Avoided Protect with Gravel



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# Spillway Side Slopes



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# Corrective Action - Earth Spillways

- Determine Cause of Sparse Vegetation
  - Was spillway designed as bare earth
  - Is it weathered rock and designed as such
  - Is there vehicle, foot or animal traffic causing ruts/erosion
  - Is there seepage keeping the spillway wet restricting growth
- Correct Problem Based on Cause
  - If designed as earth or rock, no action required
  - Restrict vehicle, foot and animal traffic if the cause
  - Control seepage with drainage if necessary
  - Re-grade and seed as recommended by State Dam Safety Office/SCD/NRCS



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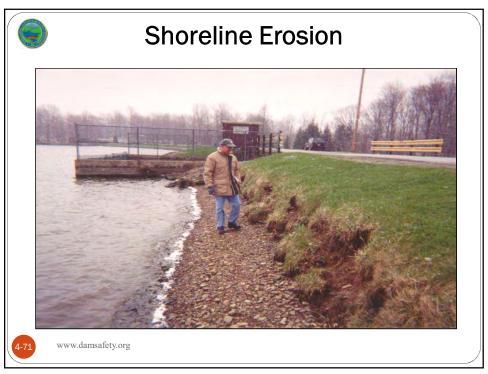


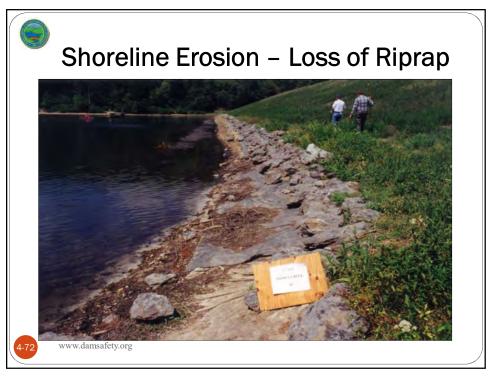
# **Corrective Action - Groin Area**

- Erosion in Groin Area is Usually the Result of Concentrated Runoff or Seepage from the Abutment at the Contact
- Corrective Action:
  - Control surface runoff
  - Control seepage with drainage system
  - Apply structural measure if necessary
    - Riprap
    - Concrete gutter



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### **Corrective Action – Shoreline Erosion**

- Shoreline Erosion is Generally Caused by Wave Action or Foot Traffic (fishermen or animals)
- Loss of Riprap by Being Undersize or Vandalism
- Corrective Action:
  - Have engineer design riprap protection based on fetch length, wind velocity by NRCS/Corps procedures
  - Restrict foot traffic or protect with riprap
  - Restrict animal traffic (livestock trying to get water)
  - For vandalism partially grout or make large



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### **Properly Designed Riprap**



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### **Corrective Action - Conduit Outlet**

- Usual Causes
  - High outlet velocity
  - Outlet set too high
  - Unstable channel downstream (head cutting)
- Corrective Action
  - Have engineer design outlet protection
  - Plunge Pool/Riprap/Structural
  - Stabilize downstream channel

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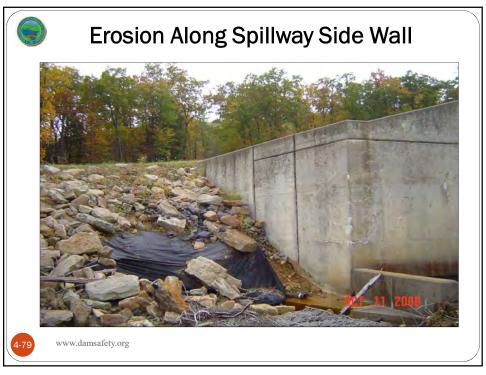


### **Corrective Action - Outlet Channel**

- Usual Causes
  - No/inadequate energy dissipation on structural spillway
  - Outlet channel inherently steep and unstable
  - Outlet conduit set too high
- Corrective Action
  - Have engineer design structural energy dissipation measure
  - Have engineer design channel stabilization measure

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# Corrective Action - Spillway Sidewalls

- Usual Causes
  - Surface runoff adjacent to wall
  - Seepage along sidewall
  - Foot or animal traffic
- Corrective Action
  - Re-grade area at top of slope to control runoff
  - Provide slope protection (riprap)
  - Provide seepage control or drainage along sidewall

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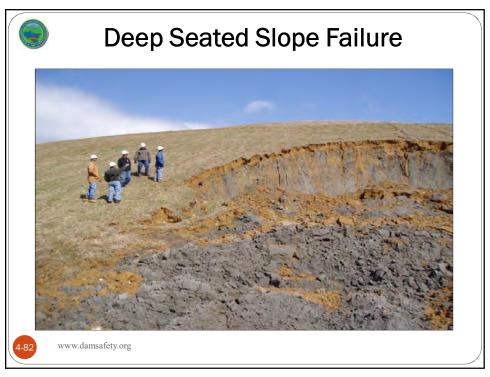


# Slides/Sloughs/Cracking

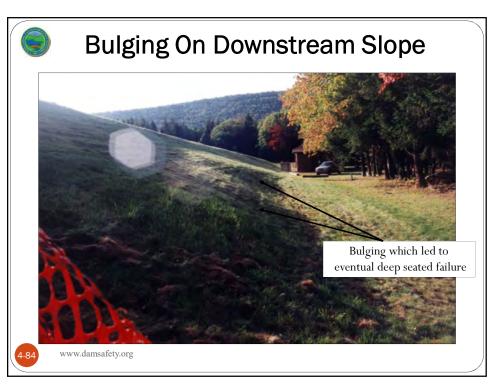


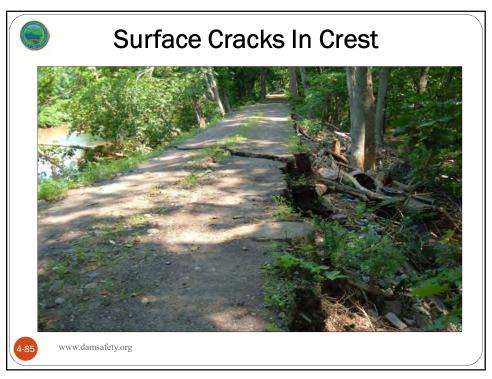
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### Corrective Actions – Deep Slides

- Deep Slides are a Serious Problem that Can Lead to a Rapid Dam Failure
- $\bullet\,$  Lower or Prepare to Lower the Lake
- Follow EAP Procedures (if you have one)
- $\bullet$  Repair Under Direction of an Engineer

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# Corrective Actions – Shallow Slides

- Usually Not as Serious as Deep Seated Slides
- Cause Must be Determined
- Investigation Should be by Engineer
- Repair Under Direction of Engineer



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### Corrective Action - Bulging

- Usually Not an Immediate Problem
- Cause Must be Determined
- Investigation by Engineer
- Repair Under Direction of Engineer



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# Corrective Action – Surface Cracks

- Surface Cracks Can be an Indication of a Serious Problem in the Dam Foundation or Can Just be the Result of Desiccation
- Monitor Crack for Expansion or Growth
- Cause Should be Determined by Engineer
- Repairs Should be Made Under Direction of Engineer

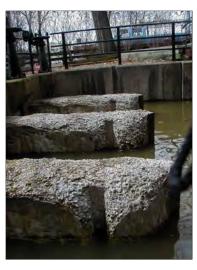


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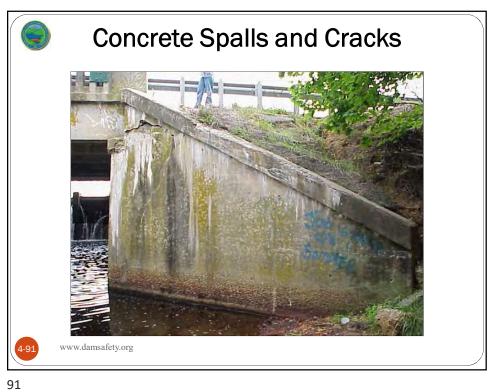
### **Concrete Spalling**





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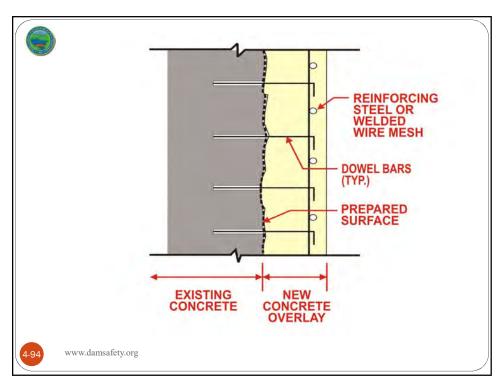
## Corrective Action - Spalling

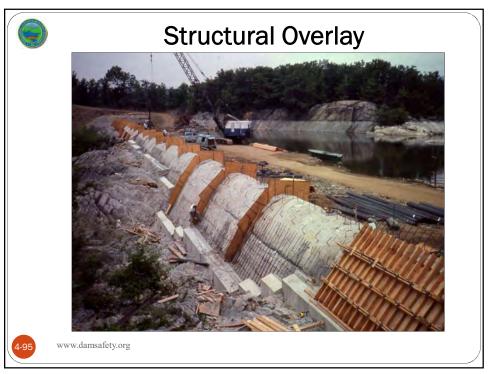
- Chip Away Any Loose Material
- If Section Loss is Not Significant, Apply a Concrete Sealer/Protective Coating
- If Section Loss is Significant, Construct a Structural Overlay with Dowels and Reinforcing (requires engineering)



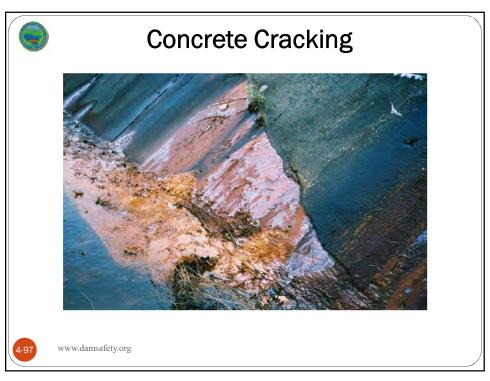
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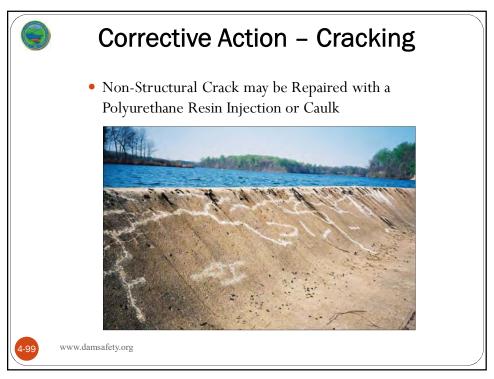
# Corrective Action – Cracking

- Severe Crack through the Section will Require Removal and Replacement with Dowels and Reinforced Concrete
- Structural Crack Repair Requires Epoxy Injection

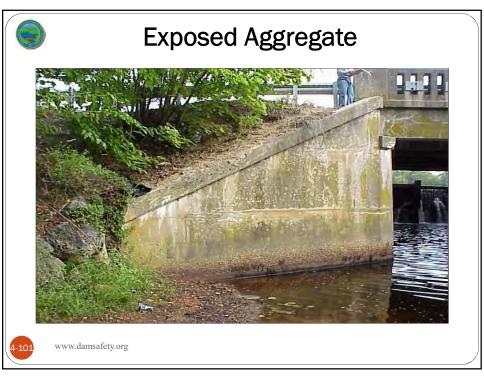


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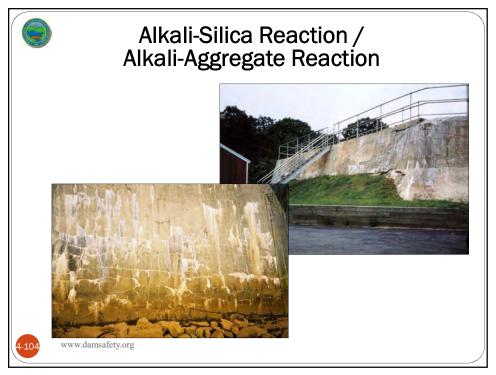
# Corrective Action – Exposed Aggregate

- Due Wetting/Drying and Freeze/Thaw Cycles
- Due to Hydraulic Abrasion/Erosion
- Surface Mortar (sand, cement, and water) is Removed
- Repair with:
  - Sealer/protective coating
  - Concrete repair mortar (re-profiling mortar)
  - Epoxy mortar



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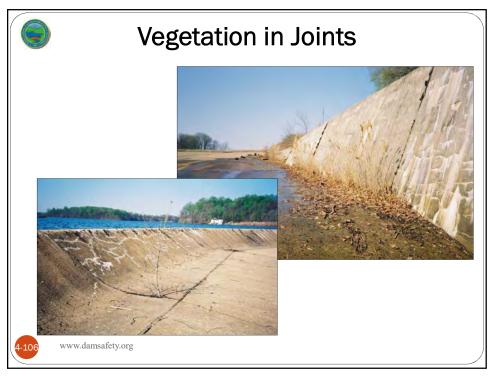
## Corrective Action - ASR / AAR

- Water and Air Promote the Reaction, so Sealing the Concrete Surfaces to Minimize Water/Air Infiltration Helps to Slow Down Process
- Eventually the Structural Integrity will be Compromised, Structure Will Have to be Replaced



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# **Corrective Action – Vegetation**

- Should be Removed Immediately, Including Stalk and Roots
- Cutting or Pulling
- Application of Herbicide



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### Corrective Action – Leaking Joints

- You Need to Understand Original Design of the Joint:
  - Was dam constructed with water-stops
  - Is there a continuous drain behind joint
  - Are there fines being removed
- Inject Water Reactive Hydrophobic Polyurethane Resin That Remains Flexible
- Flush Out Drain
- Reconstruct Joint and Drain



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# Corrective Action – Joint Failure

- Vegetation in Joints and Leaking Joints Can Lead to Joint Failure
- Joint Failure is When the Joint Separates Enough to Allow Spillway Discharge to Erode the Underlying Soils
- The Concrete Slabs then Can Be Lifted or Collapse into the Underlying Void
- Reconstruction of the Spillway is Required



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### Seepage Problems

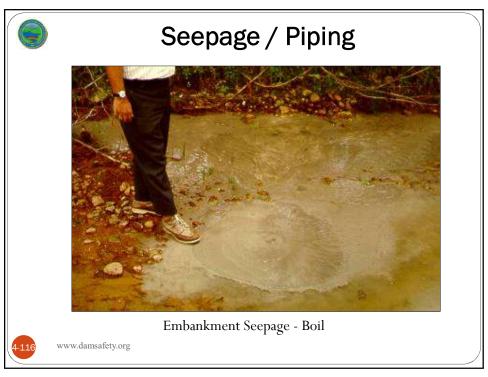


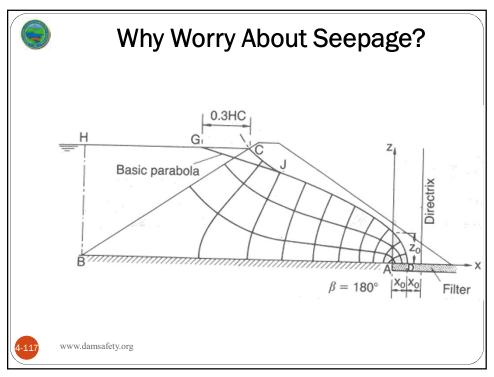
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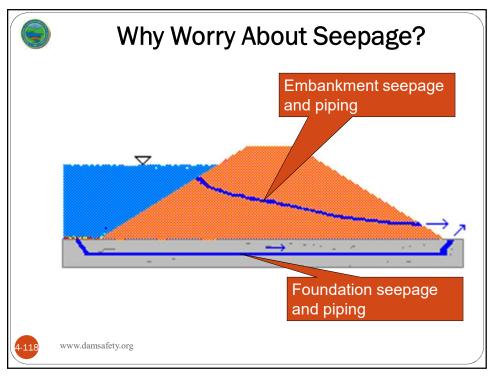


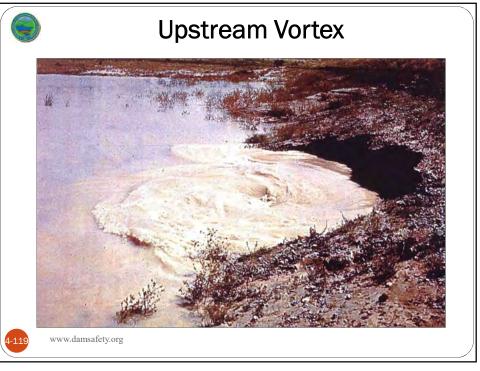












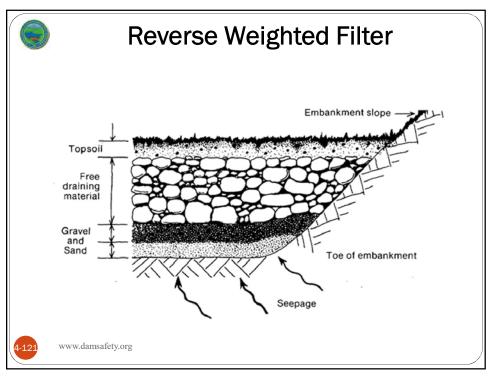


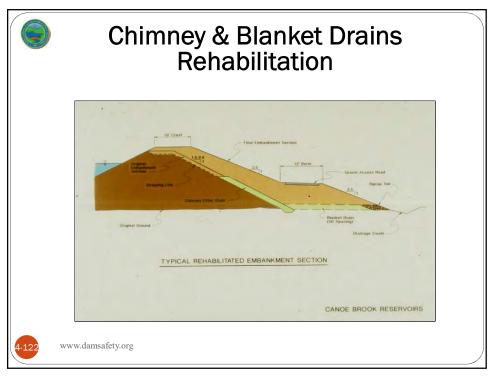
### **Corrective Action - Seepage**

- All Dams Leak or Seep
- Seepage Alone is Not Necessarily a Problem, But it Should be Monitored Visually and the Quantity Measured on a Regular Basis
- Seepage Can Lead to "Piping" Erosion and Embankment Instability
- If the Seepage is Muddy, There is a PROBLEM
- A Seep Exiting at the Toe can be Repaired with a Reverse Graded Filter
- Seepage through the Embankment can be Collected and Controlled by Filter Drain Installation



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### **Embankment Drains**



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### **Material Deterioration**



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#### **Material Deterioration**





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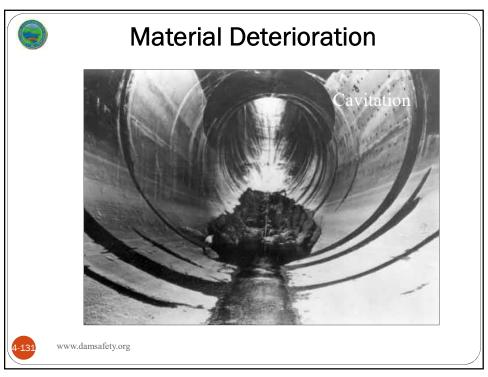


#### **Material Deterioration**

- Corrugated Metal Pipe Products
  - Large number dams with CMP products designed and constructed under the Soil Conservation Service (now NRCS) in1960s - 1980s.
  - Dams used for irrigation, wildlife, recreation
  - CMP used for principal spillway risers, outlet barrels, low-level outlet, toe drains, etc.
  - Design life of CMP 50 years +/-. In harsh soil and water chemistry conditions, products have lasted less time.
  - In most applications, nearing or at end of design life, in poor condition, require replacement.



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#### **Material Deterioration**



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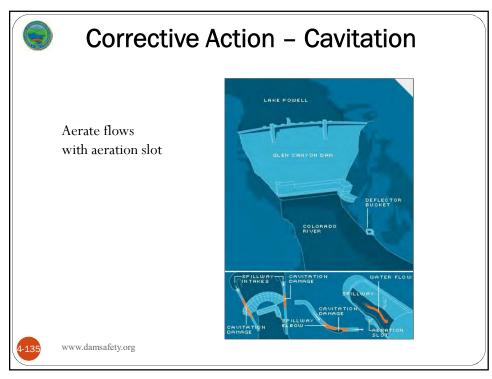


# Corrective Action – Material Deterioration

- Corrosion Periodic Painting with Corrosion Inhibiting Paint or Sealer, may Require Sand Blasting First
- Corrosion Do Not Use Corrugated Metal Pipe
- Cavitation Repair Damage with Concrete or Welded Steel Liner and Aerate Flows (See next slide)
- Worn or Damaged Parts Periodic Inspections and Performance Monitoring

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### Miscellaneous

- Fish Screens
- Gates, Stems and Operators
- Flashboards
- Aquatic Vegetation
- Access Roads
- Sediment
- Design Modifications
- Maintenance Equipment and Materials



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### Weirboards-Stoplogs



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### Corrective Action – Gates/Weirboards-Stoplogs

- Gates Should be Lubricated Periodically According to Manufacturers Recommendations
- Gates Operator Should be Cleaned and Painted as Needed
- Gates Should Never be Forced Opened or Closed, Can Bend Stem and Disengage Disc from Frame – If Stuck Determine Reason
- Gates Should be Cycled Open and Closed in 25% Increments

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## Corrective Action - Gates/Stoplogs

- Stoplogs Should Not be Forced into Place
- Replace Timber Planks as Needed, Dimensioned Just Smaller than Slot Dimensions
- Contact Edges Should be Chamfered
- If Leaking Badly, Plastic Sheet can be Placed Up Against Upstream Face
- Design Stoplogs with Nesting Lifting Eye Bolts



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#### **Access Road**



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### Corrective Action – Access Roads

- If Access Road is Across Dam Crest, Make Sure Minimum Crest Elevation is Maintained
  - Add fill or crushed stone as necessary
- Make Sure Access Road is Clear of any Obstacles or Debris such as Fallen Trees
- Repair any Ruts or Erosion with Fill or Crushed Stone



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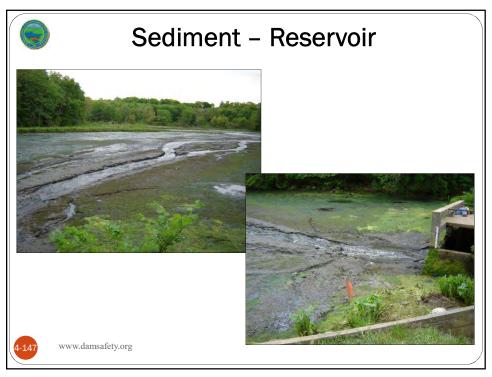


#### **Sediment**

- Sediment Accumulation in:
  - Reservoir
  - Outlet conduit
  - Drains



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#### Sediment - Conduit/Drains



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#### **Design Modifications**

 Modifications to the Dam Should Not be Undertaken without Input from a Licensed Engineer and a Permit from the State Dam Safety Office

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#### **Design Modifications - Examples**

- Raising Normal Pool by Adding Flashboards to Spillway
  - Adds hydrostatic load to dam
  - Reduces freeboard
  - Increases flooding levels upstream
- Lowering Crest of Dam
  - Reduces freeboard
- Placing Obstructions in Spillway Channels
  - Fences, stockpile materials, park equipment and vehicles all reduce channel capacity

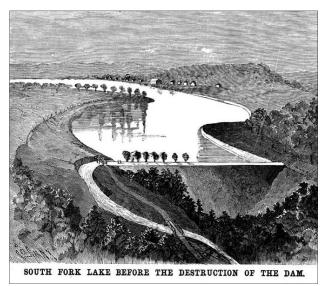


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#### **Design Modifications**



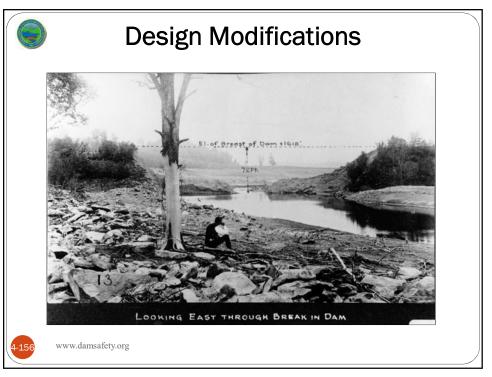
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#### Hazard Re-Classification

Likely loss of life upon failure of Dam





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#### Maintenance Equipment

- Power Equipment
  - Dump truck, backhoe
  - Stump grinder, mulcher
  - Mower, tractor
- Hand Equipment
  - Chain saw
  - Weed whackers, trimmers, clippers
  - Wheelbarrow, rakes, shovels
  - Hand tools

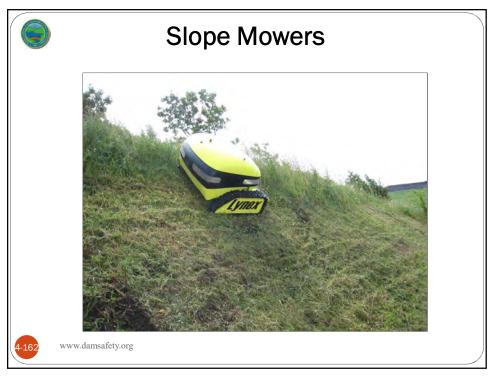


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#### **Maintenance Materials**

- Stockpiled Material
  - Rip rap
  - Crushed stone (#57)
  - Sand and sand bags
  - Random earthfill
  - Topsoil
- Geotextile, Geogrids, Erosion Control Matting
- Fertilizer, Lime, Grass Seed, Mulch
- Sealant, Caulk, Mortar Mix
- Paint, Lubricant, Grease



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#### **OM&I Information Resources**

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- *OM&I Manual* (Ohio Dam Safety Program)
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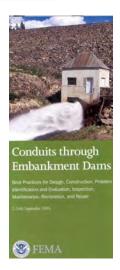
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- Piezometer monitoring software





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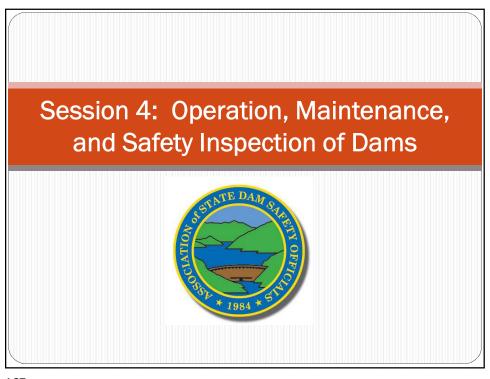
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# PREVIOUS SLIDE PRESENTATION



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### What Will Be Covered in Session 4

- Dam operations
- Maintenance issues & activities
- Inspection issues & activities

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# What Will Be Covered (continued)

- Problems with:
  - Earth dams
  - Spillway systems
  - Outlet works
  - Concrete dams



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The responsibility for proper operation, maintenance, and inspection of dams falls upon dam owners.



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#### **Proper Dam Operations**



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#### **Dam Operations**

- Recordkeeping
- Periodic Inspection
- Emergency action plan (EAP)
  - EAP recommended updates on an annual basis
  - Downstream development is typically a potential concern that can drastically affect EAP contents



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#### Dam Operations (Cont.)

- Public safety
  - Public access must be controlled
  - Includes proper warning signs, fencing, etc.



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#### **Public Safety**



Refer to Session 6 for more on public safety.



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#### Dam Operations (Cont.)

- Operation of bottom drain, siphon systems, and gates/flashboards
  - Bottom drains, siphon systems, pool control gates, flashboards, and other outlet control works must be operated at least annually.
  - If systems are deteriorated and have not been operated in many years, they <u>must</u> be inspected by a qualified engineer.



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## Operating a control gatewheel crank



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#### Dam Operations (cont.)

- Monitoring fluctuation of pool elevation
- Removal of floating debris
- Monitoring instrumentation systems
- Monitoring alarm/warning systems
- Wildlife damage control



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#### Dam Maintenance Factors

- Type of dam
- Function of dam
- Watershed characteristics
- Spillway system characteristics
- Prevailing climatic conditions



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#### Dam Maintenance Activities

- Nurturing and mowing grassed areas
- Removal of trees and brush
- Removal of floating debris from outlet works
- Repair of eroded/scoured areas
- Control and repair of damage caused by wildlife



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### Nurturing and Mowing Grassed Areas and Removal of Trees and Brush

- Tall grass and brush make inspections more difficult
- Tall grass provides a haven for borrowing animals
- Trees can blow over in high winds and severely damage the embankment.
- Tree roots penetrate the embankment and alter its structural integrity.
- Tree roots can become pathways for seepage.



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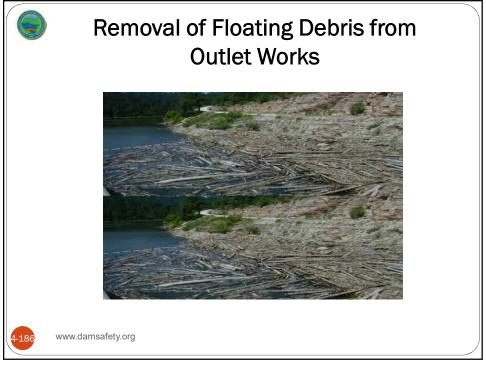
#### **Nurturing and Mowing Grass Areas**



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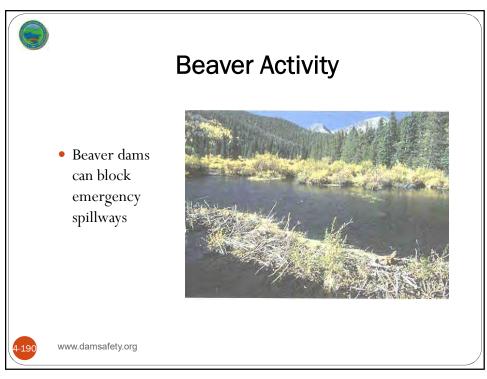




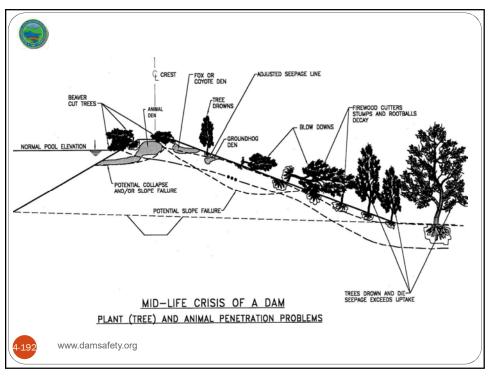














#### Dam Maintenance Activities (Cont.)

- Opening and closing of outlet & spillway gates to ensure operability
- Painting and repair of metal components
- Grouting and sealing concrete joints/cracks
- Removal and protection of spalling concrete
- Repair of embankment surface erosion



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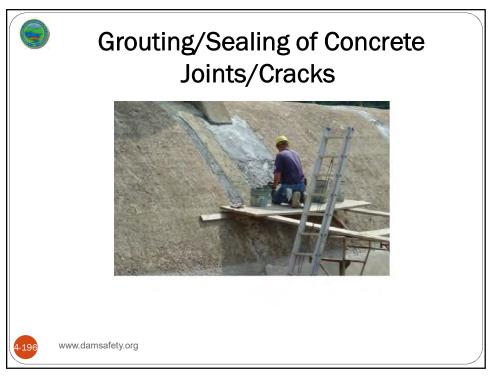
### Opening and Closing of Lake Drains to Ensure Operability



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#### **Restoring Embankment**



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#### Dam Maintenance Activities (Cont.)

- Maintenance and stabilization of outlet channels
- Maintenance or repair and replacement of warning signs
- Maintenance of instrumentation/ monitoring systems
- Maintenance of upstream slope wave erosion protection



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#### Dam Maintenance Activities (Cont.)

• Maintenance and stabilization of outlet channels





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#### Dam Maintenance Activities (Cont.)

 Maintenance of Upstream Slope Wave Erosion Protection





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#### Dam Maintenance Activities (Cont.)

- Removal of sediment deposits at inlet
- Removal of diseased trees on lake rim
- Control and removal of aquatic growth
- Maintenance of emergency access routes





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# Dam Safety Inspection Activities



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#### **Purpose of Inspections**

- Identify O & M needs
- Monitor instrumentation
- Identify early warning signs of potential problems or failure

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#### Inspections: What to Look For

- Stability of Embankment & Structures
- Erosion Embankment Upstream & Downstream Slopes, Abutment Juncture, Spillways & Outlets
- Seepage Through Dam, Abutment, Foundation, Around Structures
- Vegetal Cover Type & Condition
- Wildlife Damage
- Deterioration/Cracking of Concrete Surfaces & Structures



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# Dam Inspection Activities: Embankments/Earthen Dams

- Embankment slope stability
- Wave erosion
- Embankment seepage
- Abutment seepage
- Foundation seepage
- Woody vegetation growth



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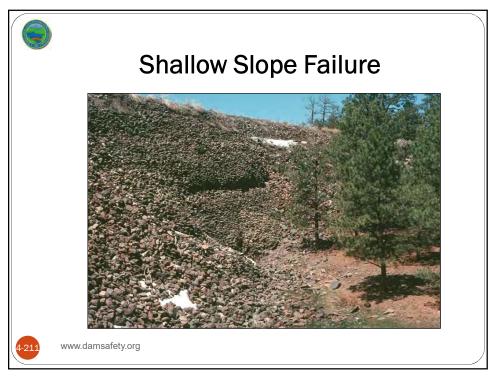


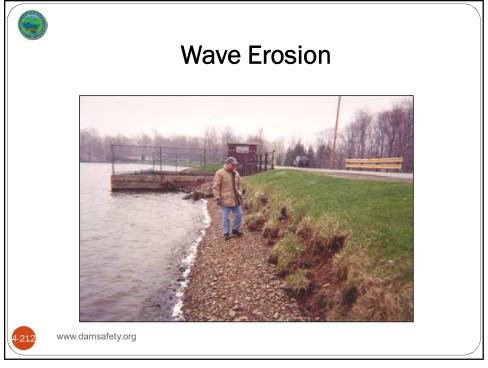
#### **Deep-Seated Slope Failure**



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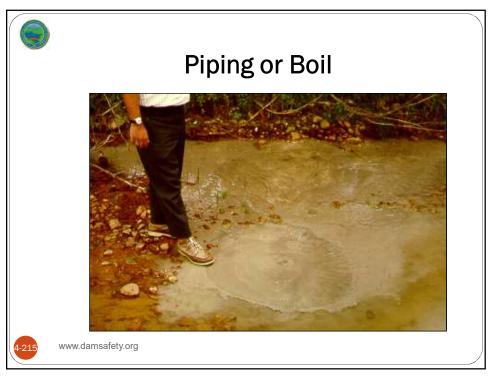
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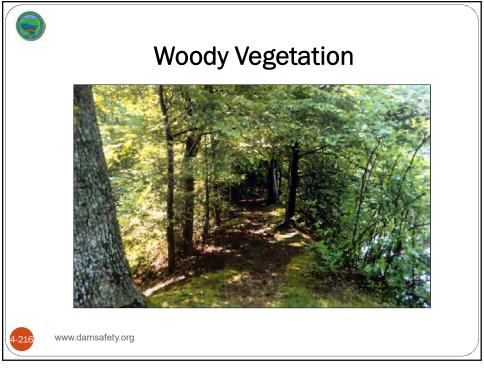














### Dam Inspection Activities: Embankments/Earthen Dams (Cont.)

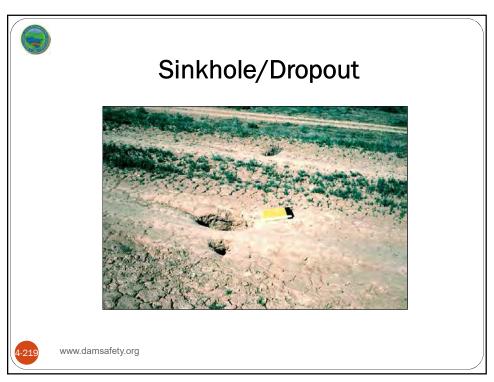
- Wildlife damage
- Sinkholes, depressions, or dropouts
- Embankment cracking
- Sparse vegetation areas
- Surface erosion and vehicular ruts

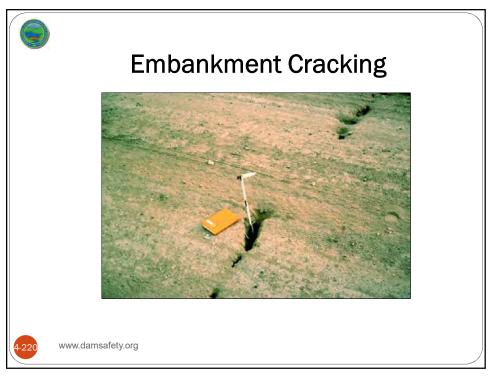


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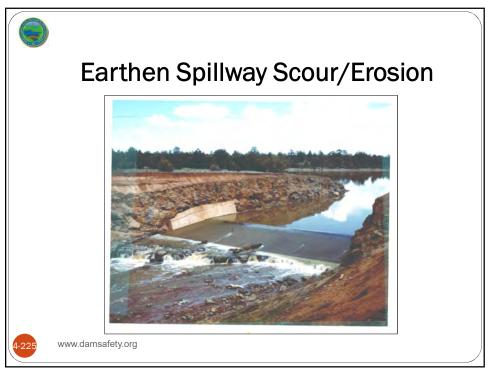


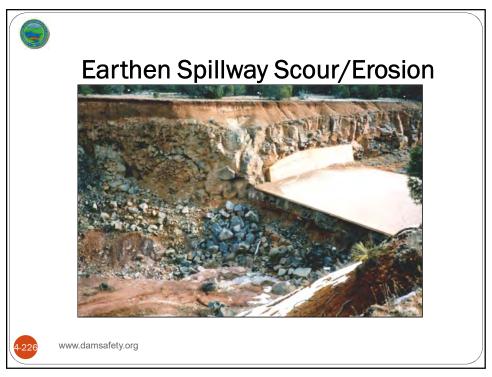
# Common Open Channel Spillway Problems

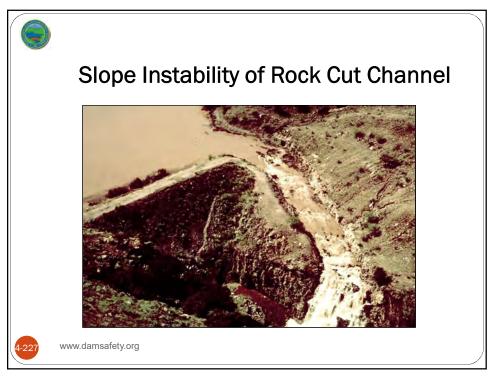
- Earthen spillway scour/erosion
- Rock cut spillway scour/erosion
- Deterioration of Concrete-lined spillway channels
- Concrete weir spillway structures same problems as concrete channels

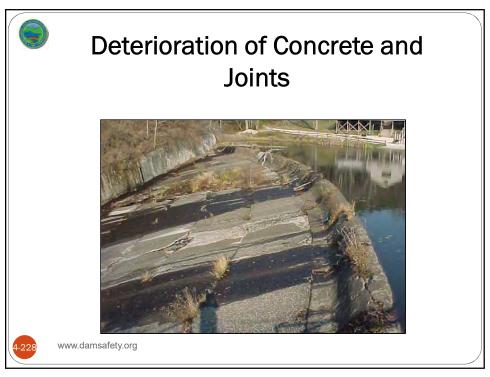
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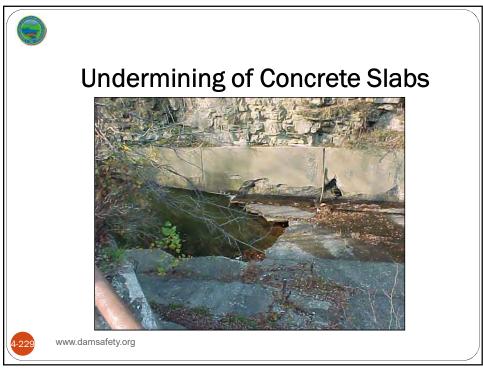
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# Common Spillway Conduit & Outlet Problems

- Undermining of conduit outlet
- Seepage along spillway conduit
- Joint deterioration and/or separation
- Differential settlement along conduit
- Misalignment of spillway conduit
- Spillway conduit deterioration



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## **Inspection of Concrete Structures**

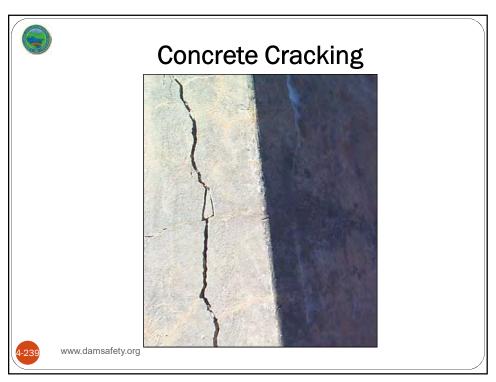
- Concrete deterioration
- Structural movements
- Leakage

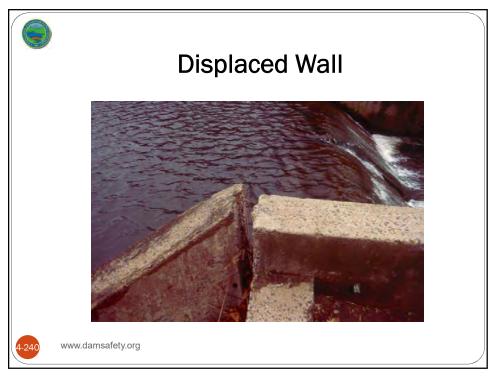
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### **Gate Leakage**





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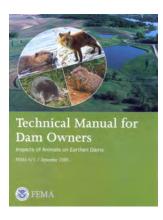
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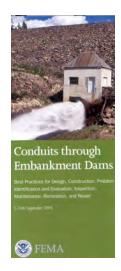
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